



To,

Listing Department
National Stock Exchange of India Limited
Exchange Plaza, C-1, G Block, Bandra Kurla
Complex, Bandra (East),
Mumbai - 400 051.
Symbol: ANGELONE

Department of Corporate Service
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001.
Scrip Code: 543235

Subject: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir / Ma'am,

Pursuant to the provisions of Regulation 30 read with sub-para 20, Para A, Part A, Schedule III of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015 (Listing Regulations), we hereby submit the disclosure regarding the adjudication order passed by Securities and Exchange Board of India (SEBI)

The details of the above order as required under Regulation 30 of Listing Regulations and details as required are given below:

Name of the authority	Securities and Exchange Board of India (SEBI)
Nature and details of the action(s) taken or order(s) passed	<p>Adjudication order no. Order/JS/YK/2025-26/31785 dated November 11, 2025 is passed by SEBI pertaining to Show Cause Notice dated June 12, 2025 bearing reference no. SEBI/EAD/EAD-2/JS/YK/15858/1/2025.</p> <p>SEBI and National Stock Exchange of India Ltd. (hereinafter referred to as "NSE") had undertaken thematic inspection of the Company. (hereinafter referred to as "AOL"). The theme of the inspection was authorized person (hereinafter referred to as "AP") using large number of trading terminals for their proprietary trade. Accordingly, onsite inspection of AP of AOL namely Mr. Ashwin Thakkar was carried out on July 30, 2024. The period covered in the inspection was from January 01, 2024 to June 30, 2024 (hereinafter referred to as "Inspection period")</p> <p>Based on the findings of the inspection conducted by SEBI and NSE and the submissions made by the</p>



Corporate & Regd Office:
601, 6th Floor, Ackruti Star, Central Road, MIDC,
Andheri (E), Mumbai - 400093.
T: (022) 4000 3600
F: (022) 4000 3609
E: support@angelone.in
www.angelone.in

Angel One Limited
CIN: L67120MH1996PLC101709,
SEBI Registration No Stock Broker: INZ000161534,
CDSL: IN-DP-384-2018, PMS: INP000001546,
Research Analyst: INH000000164, Investment Advisor: INA000008172,
AMFI Regn. No. ARN-77404, PFRDA, Regn. No.-19092018.



	<p>Company, certain alleged non-compliances were observed of SEBI (Stock Brokers) Regulations, 1992 (hereinafter referred to as Stock Brokers regulation) and circulars issued by SEBI and NSE.</p> <p>Having considered the facts and circumstances of the case, the material available on record, the submissions made by the Company, the factors mentioned in Section 15J of the SEBI Act, 1992 exercise of powers conferred under section 15-I of the SEBI Act, 1992 read with rule 5 of the (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995, a penalty of Rs. 3,00,000/- is being imposed under section 15HB of the SEBI Act, 1992 on the Company.</p>
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	November 11, 2025
Details of the violation(s) / contravention(s) committed or alleged to be committed	<p>Adjudication order no. Order/JS/YK/2025-26/31785 dated November 11, 2025 is passed by SEBI pertaining to Show Cause Notice dated June 12, 2025 bearing reference no. SEBI/EAD/EAD-2/JS/YK/15858/1/2025.</p> <p>SEBI and NSE had undertaken thematic inspection of the Company. The theme of the inspection was AP using large number of trading terminals for their proprietary trade. Accordingly, onsite inspection of AP of AOL namely Mr. Ashwin Thakkar was carried out on July 30, 2024 for the inspection period.</p> <p>Based on the findings of the inspection conducted by SEBI and NSE and the submissions made by the Company certain alleged non-compliances such as operation of terminals by unapproved/ unauthorised user, non-availability of the terminals at the reported locations, AP dealt with other APs of the Company and that the Company had not maintained adequate supervision of APs were observed under the Stock Brokers Regulations and circulars issued by SEBI and NSE.</p>



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Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material financial or operational impact or any impact on other activities of the Company due to this order.
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For **Angel One Limited**

Naheed Patel
Company Secretary and Compliance Officer
ACS: 22506

Date: November 12, 2025

Place: Mumbai



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