



To,

Listing Department
National Stock Exchange of India Limited
Exchange Plaza, C-1, G Block, Bandra Kurla
Complex, Bandra (East),
Mumbai - 400 051.
Symbol: ANGELONE

Department of Corporate Service
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001.
Scrip Code: 543235

Subject: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir / Ma'am,

Pursuant to the provisions of Regulation 30 read with sub-para 20, Para A, Part A, Schedule III of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015 (Listing Regulations), we hereby submit the disclosure regarding the settlement order passed by Securities and Exchange Board of India (SEBI)

The details of the above order as required under Regulation 30 of Listing Regulations and details as required are given below:

Name of the authority	Securities and Exchange Board of India (SEBI)
Nature and details of the action(s) taken or order(s) passed	<p>Settlement Order No.SO/SM/BK/2025-26/8503 dated November 04, 2025, is passed by SEBI in respect of the following:</p> <p>Show Cause Notice No dated April 16, 2025 bearing reference no. SEBI/EAD5/P/OW/2025/10999/1 ("SCN") was issued to AOL in terms of provisions of Rule 4 of the Adjudication Rules, calling upon to show cause why an inquiry should not be held and penalty not be imposed, under Section 15A(b) of SEBI Act, for the alleged violation of Regulation 30(7) read with Regulation 4(1) (d)(e) and (h) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Regulation 30(6) of LODR Regulations read with SEBI circular dated July 13, 2023.</p> <p>AOL had filed settlement application bearing Settlement Application No. 8503/2025 in terms of SEBI (Settlement Proceedings) Regulations, 2018</p>



Corporate & Regd Office:
601, 6th Floor, Ackruti Star, Central Road, MIDC,
Andheri (E), Mumbai - 400093.
T: (022) 4000 3600
F: (022) 4000 3609
E: support@angelone.in
www.angelone.in

Angel One Limited
CIN: L67120MH1996PLC101709,
SEBI Registration No Stock Broker: INZ000161534,
CDSL: IN-DP-384-2018, PMS: INP000001546,
Research Analyst: INH000000164, Investment Advisor: INA000008172,
AMFI Regn. No. ARN-77404, PFRDA, Regn. No.-19092018.



	<p>hereinafter referred to as the “Settlement Regulations”) proposing to settle the instant proceedings initiated against them vide SCN dated April 16, 2025, without admission or denial of the findings of fact and conclusions of law.</p> <p>AOL submitted Revised Settlement Terms (‘RST’) vide email dated June 23, 2025 wherein AOL proposed to offer Rs 34,57,145 (Thirty Four Lakhs Fifty Seven Thousand One Hundred and Forty Five Rupees). The same was duly accepted and AOL remitted the said amount.</p> <p>On receipt of settlement amount adjudication proceedings initiated against AOL was disposed of.</p>
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	November 04, 2025
Details of the violation(s) / contravention(s) committed or alleged to be committed	Violation of Regulation 30(7) read with Regulation 4(1) (d)(e) and (h) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Regulation 30(6) of LODR Regulations read with SEBI circular dated July 13, 2023.
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material impact on financial, no impact on operations or other activities of AOL due to the action letter.

For Angel One Limited

Naheed Patel
Company Secretary and Compliance Officer
ACS: 22506

Date: November 04, 2025
Place: Mumbai



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